

SECTOR



DOCKET NO.: MSFT-0124/154571.1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Marco A. DeMello, Pavel Zeman, Vinay
Krishnaswamy and Frank D. Byrum

Serial No.: 09/604,540


Group No.: 2766

Filing Date: June 27, 2000

Examiner: Not Yet Assigned

For: SERVER FOR AN ELECTRONIC DISTRIBUTION SYSTEM AND METHOD
OF OPERATING SAME

DATE OF DEPOSIT: 10-18-00
I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED
WITH THE UNITED STATES POSTAL SERVICE AS FIRST
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DC 20231


TYPED NAME: Peter M. Ullman
REGISTRATION NO.: 43,963

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the "Notice to File Missing Parts of Application--Filing Date Granted" dated
August 21, 2000, a response to which is due October 21, 2000, enclosed herewith for filing is:

- ☒ The original Combined Declaration and Power of Attorney, executed by the inventor(s). An unexecuted copy of this document, attached to the above-identified specification, was filed by Express Mail No. EL568028677US on June 27, 2000.
- ☒ An Associate Power of Attorney is also enclosed.
- ☒ Request for Corrected Filing Receipt.

- ☐ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a Statement previously submitted on @@.
- ☐ The original executed Statement Claiming Small Entity Status Under 37 CFR 1.9 and 1.27 is filed herewith.
- ☐ A Statement Claiming Small Entity Status Under 37 CFR 1.9 and 1.27 has been forwarded to the Refund Section, Accounting Division, Office of Finance on @. A copy of this Statement Claiming Small Entity Status form and the Request for Refund form is enclosed for your convenience.
- ☒ A check in the amount of \$130.00 is attached to cover the surcharge. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.
- ☐ Please charge the surcharge to my Deposit Account No. 23-3050 in the amount of \$@.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.
- ☒ Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
- ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
- ☒ Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.

Date: 10-18-05



Peter M. Ullman
Registration No. 43,963

DOCKET NO.: MSFT-0124/154571.1

- 3 -

PATENT

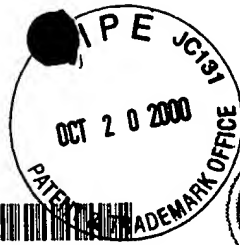
Woodcock Washburn Kurtz
Mackiewicz & Norris LLP
One Liberty Place - 46th Floor
Philadelphia PA 19103
Telephone: (215) 568-3100
Facsimile: (215) 568-3439

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FORMALITIES LETTER



OC00000005340666

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/604,540	06/27/2000	Marco A. DeMello	MSFT-0124/154571.1

Peter M Ullman
Woodcock Washburn Kurtz Mackiewicz & Norris LLP
One Liberty Place
46th Floor
Philadelphia, PA 19103

RECEIVED

AUG 24 2000

Woodcock Washburn Kurtz
Mackiewicz & Norris LLP

Date Mailed: 08/21/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/23/2000 SDUONG 00000116 09604504

01 FC:105

130.00 OP

Adjustment date: 12/15/2000
10/23/2000 SDUONG 00000116
01 FC:105

KHARLING 09604504

-130.00 OP

12/15/2000 KHARLING 00000014 09604540

01 FC:105

130.00 OP

DOCKET NO.: MSFT-0124/154571.1

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Marco A. DeMello, Pavel Zeman, Vinay
Krishnaswamy and Frank D. Byrum

Serial No.: 09/604,540

Group Art Unit: 2766

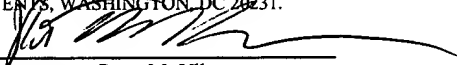
Filing Date: 09/604,540

Examiner: Not Yet Assigned

**For: SERVER FOR AN ELECTRONIC DISTRIBUTION SYSTEM AND METHOD
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DATE OF DEPOSIT: 10-18-00

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH
THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL,
POSTAGE PREPAID ON THE DATE INDICATED ABOVE AND IS
ADDRESSED TO OFFICE OF INITIAL PATENT EXAMINATION,
CUSTOMER SERVICE CENTER, ASSISTANT COMMISSIONER FOR
PATENTS, WASHINGTON, DC 20231.


TYPED NAME: Peter M. Ullman
REGISTRATION NO.: 43,963

Office of Initial Patent Examination
Customer Service Center
Assistant Commissioner for Patents
Washington DC 20231
Attention:

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a Corrected Filing Receipt is respectfully requested. The requested changes are noted thereon, as well as listed below.
2. There is an error with respect to the following data which is:


☒ incorrectly entered; and/or

☐ omitted.

<i>Error in</i>	<i>Correct data</i>
1. Applicant's name: The 3 rd inventor's name was misspelled. The "n" was left out of his last name.	Applicant(s) 1. Marco A. DeMello 2. Pavel Zeman 3. Vinay Krishnaswamy 4. Frank D. Byrum
Continuing Data As Claimed by Applicant: This application claims benefit of two provisional applications. See page 2 of the executed Declaration.	Continuing Data As Claimed By Applicant: Provisional Application S.N. 60/172,318, filed 12/17/99 Provisional Application S.N. 60/172,319, filed 12/17/99

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

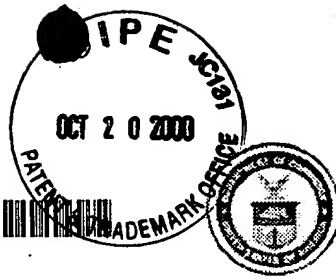
Date: 10-18-00


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Philadelphia PA 19103
Telephone: (215) 568-3100
Facsimile: (215) 568-3439

FILING RECEIPT

OC00000005340665

**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**Address: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/604,540	06/27/2000	2766	1854	MSFT-0124/154571.1	8	63	8

Peter M Ullman
Woodcock Washburn Kurtz Mackiewicz & Norris LLP
One Liberty Place
46th Floor
Philadelphia, PA 19103

RECEIVED**AUG 25 2000**Woodcock Washburn Kurtz
Mackiewicz & Norris LLP

Date Mailed: 08/21/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Marco A. DeMello, Redmond, WA ;
Pavel Zeman, Kirkland, WA ;
Vinay Krishaswamy, Woodinville, WA ;
Frank D. Byrum, Seattle, WA ;

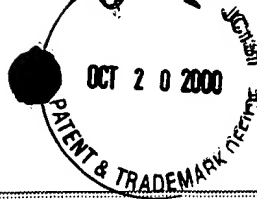
Continuing Data as Claimed by Applicant**Foreign Applications****If Required, Foreign Filing License Granted 08/21/2000****Title**

Server for an electronic distribution system and method of operating same

Preliminary Class

380

Data entry by : DILLON, LAWANDA**Team : OIPE****Date: 08/21/2000**



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

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